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Patent No. 6,857,790

Issue Date: 2/22/2005

Application No. 09/904,163

Filing Date: 7/11/2001

First Named Inventor: Edwin Fontecha

Docket Number: A007CIP3

Please change the Correspondence Address for the above-identified patent to:

Customer Number 78735

NeoPhotonics Corporation is the assignee of record of the entire interest.

STATEMENT UNDER 37 CFR 3.73(b):

Patent Owner: NeoPhotonics Corporation

Patent title: Devices and methods for etch loading planar lightwave circuits

NeoPhotonics Corporation is the assignee of the entire right, title and interest by virtue of a chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as follows:

Patent Assignment Abstract of Title

Total Assignments: 3

Patent #: ~~6857790~~ Issue Dt: 02/22/2005 Application #: 09904163 Filing Dt: 07/11/2001

Publication #: ~~20020028056~~ Pub Dt: 03/07/2002

Inventors: Edwin Fontecha, Mohammad Zubair Khan

Title: APPARATUS AND METHOD TO VERTICALLY ROUTE AND CONNECT MULTIPLE OPTICAL FIBERS

Assignment: 1

Reel/Frame: 012020/0979

Recorded: 07/11/2001

Pages: 4

1 of 2

A007CIP3

Application No. 09/904,163

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignors:** FONTECHA, EDWIN**Exec Dt:** 07/10/2001

ZUBAIR, MOHAMMAD

Exec Dt: 07/10/2001**Assignee:** LIGHTWAVE MICROSYSTEMS CORPORATION

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PALO ALTO, CA 94304-1018

Assignment: 2**Reel/Frame:** 012562/0401**Recorded:** 01/23/2002**Pages:** 5**Conveyance:** CORRECTIVE ASSIGNMENT TO CORRECT ASSIGNOR'S NAME PREVIOUSLY RECORDED AT REEL 012020 FRAME 0979**Assignors:** FONTECHA, EDWIN**Exec Dt:** 07/10/2001

KHAN, MOHAMMAD ZUBAIR

Exec Dt: 07/10/2001**Assignee:** LIGHTWARE MICROSYSTEMS CORPORATION

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Assignment: 3**Reel/Frame:** 024505/0068**Recorded:** 06/08/2010**Pages:** 11**Conveyance:** ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).**Assignor:** LIGHTWAVE MICROSYSTEMS CORPORATION**Exec Dt:** 06/08/2010**Assignee:** NEOPHOTONICS CORPORATION

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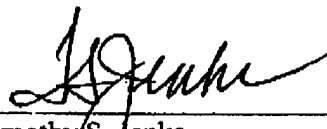
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The undersigned, (whose title is supplied below) is authorized to act on behalf of the assignee.

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9-27-2011

Date